#### EXHIBIT 1



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#### Patents > Biotechnology/Chemical/Pharmaceutical Customer Partnership

Biotechnology and Chemical Pharmaceutical Customer Partnership Meeting Wednesday, June 13, 2007

United States Patent and Trademark Office Madison Auditorium North 600 Dulany Street, Alexandria, Virginia

The most recent quarterly meeting for the Biotechnology and Chemical Pharmaceutical Customer Partnership was held on March 7, 2007 at the U.S. Patent and Trademark Office. The Biotechnology and Chemical Pharmaceutical Customer Partnership is designed and developed to be a forum to share ideas, experiences, and insights between individual users and the USPTO. The USPTO does not intend to use these customer partnership groups to arrive at any consensus. Invitations to participate will indicate that individual opinions are sought, rather than a group consensus and that the meetings are intended to be informal in nature and have varying participants. These customer partnership groups are formed with full recognition of the USPTO's responsibility under the Federal Advisory Committee Act (FACA), and that these customer partnership groups are not established as FACA compliant committees.

This meeting is also available on-line. Participation is limited to the first 200 individual registrants; so, if you are interested in attending this meeting, please click on the link below to register:

#### On-Line Registration

We value our customers in obtaining feedback from individual participants is important in our efforts to continuously improve the quality of our products and services. Your willing participation is helpful in providing us with insights and experiences in this informal process to assist us.

The next meeting of the U.S. Patent and Trademark Office Biotechnology and Chemical Pharmaceutical Customer Partnership is scheduled for Tuesday, June 13, 2007 from 9:00 am to 4:30 pm at Auditorium North in Madison Building, 600 Dulany Street, Alexandria, Virginia.

For information of future meetings and presentations, please go to http://www.cabic.com/bcp/.

Please contact Cecilia Tsang at 571-272-0562, or by fax at 571-273-0562, or email Cecilia. Tsang@uspto.gov to decline or confirm your attendance by June 7, 2007.

#### **Morning Session**

	John LeGuyader, Bruc Kisliuk, Christopher Lov	
9:00-9:20	Greetings and Overview Directors, Technology Center 1600	
9:20-10:00	Peer Review Pilot Jack Harvey, Director TC2100	
	Andy Falle, Director	

10:00-10:40	TC2600 Suite of Products	
10:40-10:55	Break	医影响
10:55-12:00	Restriction Between Product Supervisory Patent and Process Inventions Examiner, Art Unit 1648	Marie Control

12:00-1:15 Lunch

#### **Afternoon Session**

1:15-2:00	Enablement Issues in the	Larry Helms, Supervisory Patent Examiner, Art Unit
1.13-2.00	Examination of Antibody	1643
2:00-2:45	RNAI Patent Space	James Schultz; Supervisory Patent
		Examiner, Art Unit 1635
2:45-3:00	Break	
	Guidance on Routine	Jean Wiz and Dave
3:00-3:45	Optimization	Nguyen, tQASs, TC1600
3:45-4:00	Closing Remarks/Discussion	John LeGuyader, Bruce Kisliuk, Christopher Low,
	Cicang Remarks/Discussion	Directors, Technology Center 1600

KEY: ♣ =online business system \$ =fees ☐ =forms ۖ =help ⚠ =laws/regulations ﴿ definition (glossary)

The Inventors Assistance Center is available to help you on patent matters. Send questions about USPTO programs and services to the USPTO Contact Center (UCC). You can suggest USPTO webpages or material you would like featured on this section by E-mail to the webmaster@uspto.gov. While we cannot promise to accommodate all requests, your suggestions will be considered and may lead to other improvements on the website.

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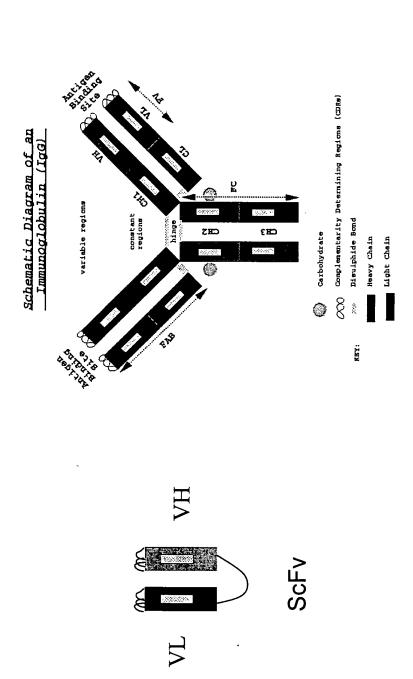
### Examination of Antibodies Enablement Issues in the

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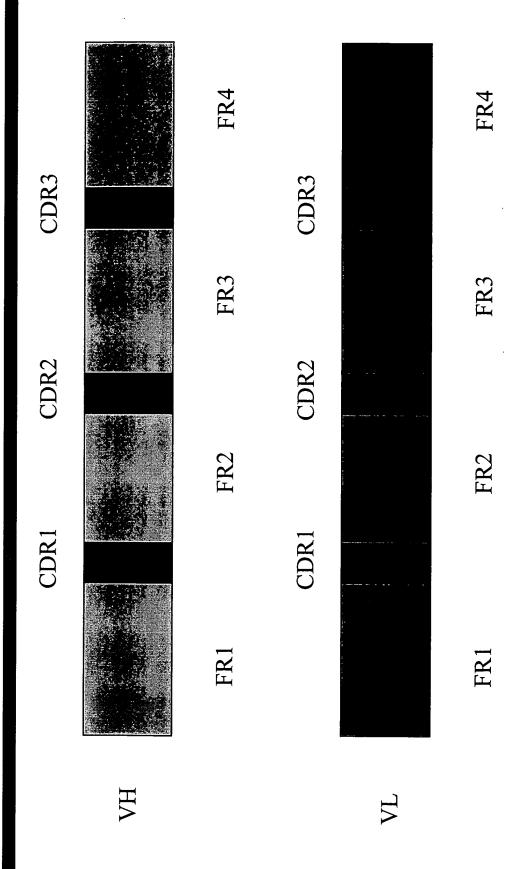
## Antibody Structure



Adapted from people.cryst.bbk.ac.uk/~ubcg07s/gifs/igG.gif



# Variable domain of Antibodies

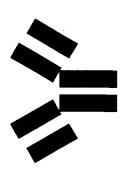


# Humanization of Antibodies





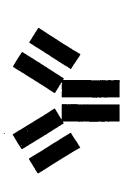








Chimaeric







### Enablement

#### 35 USC § 112

nearly connected, to make and use the same contemplated by the inventor of carrying out manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most The specification shall contain a written description of the invention, and of the and shall set forth the best mode his invention.



### MPEP 2164.01(a) Undue Experimentation Factors (In re Wands):

(1) The breadth of the claims

(2) The nature of the invention

(3) The state of the prior art

(4) The level of one of ordinary skill

(5) The level of predictability in the art

(6) The amount of direction provided by the inventor

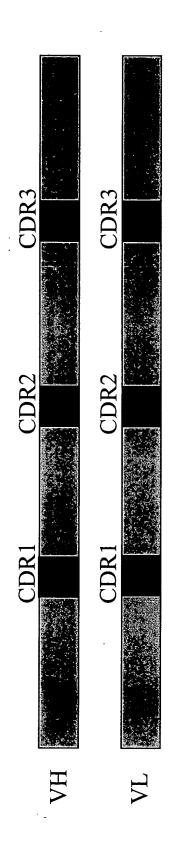
(7) The existence of working examples

(8) The quantity of experimentation needed to make or use the invention based on the content of the disclosure



### Example 1

antigen X, said antibody comprises a heavy chain Claim: An isolated antibody that binds to human variable domain comprising the 3 CDRs in SEQ ID NO:1 and a light chain variable domain comprising the 3 CDRs in SEQ ID NO:2.







### Specification

- Discloses antigen X from human tissue.
- Discloses antigen X is over-expressed in cancer tissue vs. normal tissue.
- The instant application produced an antibody that explicitly disclosing humanized and chimaeric binds antigen X that contains a VH of SEQ ID NO:1 and a VL of SEQ ID NO:2, as well as antibodies.
- detection of cancer in human subjects with an The instant application provides examples of antibody that binds antigen X.



## State of the Prior Art

- each contribute three CDRs to the antigen binding It was well known at the time the application was filed that the heavy and light polypeptide chains region of the antibody molecule.
- The prior art<sup>1</sup> taught humanization of antibodies framework region to an acceptor framework region and retention of antigen binding. by transfer of the 6 CDRs from a donor

<sup>1</sup>Queen et al., PNAS (1988) 86:10029-10033, Riechmann et al., Nature (1988) 332:323-327



#### Analysis

- binding site, the identification of the specific CDR sequences in the specification provides enough In light of the prior art disclosing the CDRs as structure to define the antibody's binding site. being the essential structure of the antibody's
- In addition, the prior art for humanization supports transferring the 6 CDRs from a donor framework obtaining successful antigen binding by to an acceptor framework.



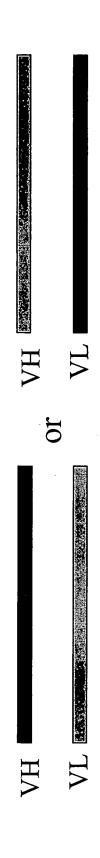
## Analysis (cont.)

- experimentation to obtain an antibody that would specifically defined in the claim at the time of bind antigen X and comprise the 6 CDRs as Thus, it would not have been undue filing.
- requirements under 35 U.S.C. 112, first paragraph, NO:1 and a light chain variable region comprising variable region comprising the 3 CDRs in SEO ID Therefore, a claim that defines an antibody that binds antigen X and comprises a heavy chain the 3 CDRs in SEQ ID NO:2 meets the for enablement.



### Example 2

- Claim 1. An isolated antibody that binds to human antigen X, said antibody comprises a heavy chain variable domain comprising SEQ ID NO:1.
- human antigen X, said antibody comprises a light chain variable domain comprising SEQ ID NO:2. Claim 2. An isolated antibody that binds to



Sequence defined in claim



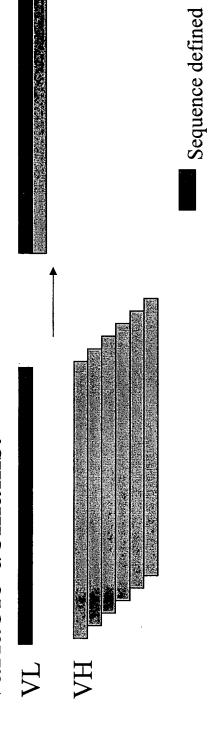
### Specification

- Discloses antigen X from human tissue.
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- detection of cancer in human subjects with an The instant application provides examples of antibody that binds antigen X.



## State of the Prior Art

There are several prior art<sup>2</sup> references that teach specific antigen by using a specific VL (or VH) and screening a library of the complimentary methods of producing antibodies that bind a variable domains.



<sup>2</sup>Portolano et al., The Journal of Immunology (1993) 150:880-887Clarkson et al., Nature (1991) 352:624-628



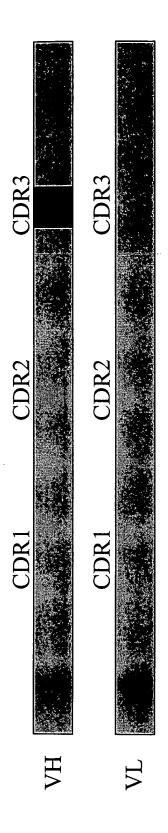
#### Analysis

- antibody that binds a specific antigen comprising a In light of the prior art disclosing methods of libraries, the specification's disclosure of an obtaining antibodies that bind an antigen by enough structure for one skilled in the art to defined VH or VL sequence would provide screening complementary variable domain practice the invention.
- VH or VL sequence meet the requirements under binds a specific antigen and comprises a defined 35 U.S.C. 112, first paragraph, for enablement. Therefore, claims directed to an antibody that



### Example 3

variable domain and a light chain variable domain, antigen X, said antibody comprises a heavy chain Claim: An isolated antibody that binds to human said heavy chain variable domain comprises the CDR3 in SEQ ID NO:1 (VH).







### Specification

- Produced a series of antibodies that bind antigen X of VH and, i.e., VL they had specific VH domains and the antibodies were not random combinations paired with specific VL domains.
- The VH domains are highly homologous to each nearly identical in framework regions (3-6/124 other and share not only CDR3, but also were residues) as well as CDR1  $(3/5)^1$  and CDR2  $(6/16)^1$  regions.

Indicates region where residues differ

<sup>&</sup>lt;sup>1</sup> indicates residues that are identical out of number of residues in the CDR



## Specification (cont.)

- reveals that these domains are highly homologous • Analysis of the VL sequences of these antibodies to each other. The framework regions are nearly CDR1 and CDR2 regions. The CDR3 (8/10)<sup>1</sup> identical and the VL domains are identical in regions are highly homologous to each other.
- established in the art at the time the invention was made that the CDR3 region alone can determine The instant application suggests that it was well the specificity of the antibody.

<sup>1</sup> indicates residues that are identical out of number of residues in the CDR



## State of the Prior Art

## Prior art for obtaining an antibody with only CDR3 of the VH defined:

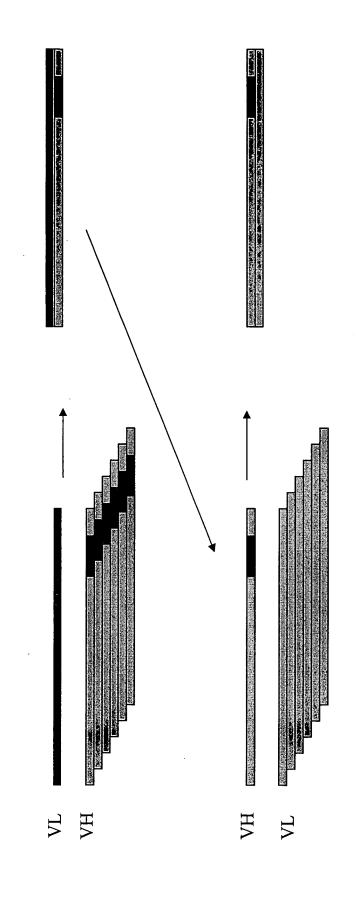
retained from the mouse VH. After obtaining antibodies, the VH was screened against a Klimka et al., British Journal of Cancer (2000) 83: 252-260: Klimka et al describe a screening process using a mouse VL and a human VH library with CDR3 and FR4 human VL library to obtain antibodies that bound antigen.

obtaining antibodies, one VL was combined with a human VH library with the CDR3 of Beiboer et al., J. Mol. Biol. (2000) 296:833-849: Beiboer et al describe a screening process using the entire mouse heavy chain and a human light chain library. After the mouse retained. Antibodies capable of binding antigen were obtained.

Rader et al., PNAS (1998) 95:8910-8915: Rader et al, describe a process similar to Beiboer et al above.



## Method for screening





- defined variable domain provides enough structure variable domains to be a defined sequence and the The prior art methods for screening rely on a two antibody, however, each step requires one of the step process where each step results in an to obtain an antibody.
- The prior art methods do not result in an antibody randomizing the rest of the VH and VL domains. solely by keeping CDR3 in the VH defined and



## Prior art indicating the CDR3 region in the VH domain is important in antigen binding:

dominate the interaction, a number of residues outside the CDRs make antigen contacts antibodies for interaction with antigen and found that although CDR3 of the VH MacCallum et al., J. Mol. Biol. (1996) 262: 732-745: Analyzed many different and residues in the CDRs are important for backbone conformations.

Pascalis et al., the Journal of Immunology (2002) 169: 3076-3084: Grafting of CDRs onto a human framework required some residues in all 6 CDRs as well as specific frameworks

CD4 antibody binding site using 24 residues formed from residues from 5 of the CDRs. Casset et al., state that although CDR H3 is at the center of most antigen interactions, Casset et al., BBRC (2003) 307, 198-205: Constructed a peptide mimetic of an anticlearly other CDRs play an important role in recognition



Vajdos et al., J. Mol. Biol. (2002) 320: 415-428: Antigen binding is primarily mediated by the CDRs but more highly conserved framework segments are mainly involved in supporting CDR loop conformations and, in some cases, framework residues also Padlan et al., PNAS (1989) 86:5938-5942: Padlan et al describe the crystal structure of an antibody-lysozyme complex where all 6 CDRs contribute at least one residue to binding and one residue in the framework is also in contact with antigen.

crystal structure of an anti-estradiol antibody in complex with estradiol where, although CDRH3 plays a prominent role, all CDRs in the light chain make direct contact with Lamminmaki et al., JBC (2001) 276:36687-36694: Lamminmaki et al describe the antigen (even CDRL2, which is rarely directly involved in hapten binding).



The prior art indicated that, in some instances, the CDR3 region is important. However, this region is not solely responsible for binding. The conformation of other CDRs, as well as framework residues influence binding.



## Transfer of only CDR3 in the VH and retention of antigen binding.

anti-DNA antibody to an anti-tetanus toxoid antibody and retained DNA binding in 2/3 Barbas et al., PNAS (1995) 92: 2529-2533: Transferred the CDR3 of the VH of three

reconstruction of the CDR3 in the heavy chain of an antibody as well as transplantation of a 17 amino acid alpha-helical DNA binding domain into CDR3 of the heavy chain<sup>3</sup>. It was known in the art that antibodies that bind dsDNA can be generated by

<sup>3</sup>McLane et al., PNAS (1995) 92:5214-5218,

Barbas et al., J. Am. Chem. Soc. (1994) 116:2161-2162



#### Analysis

- The claim is broadly drawn to any antibody that binds antigen X and comprises a heavy chain variable region comprising CDR3 in SEQ ID NO:1.
- The specification discloses antibodies with highly homologous VH and VL domains and identical VH CDR3 regions.
- framework and paired with just any VL and retain The specification does not disclose that CDR3 of the VH alone can be transferred to just any antigen binding.



## Analysis (cont.)

- The specification does not provide any examples to support that CDR3 of the VH or VL is solely responsible for antigen binding.
- antibodies by just defining CDR3. The methods rely on using an entire VH or VL and screening The prior art does not show screening for random complimentary chains.
- universally solely responsible for antigen binding. The prior art does not show that a CDR3 is



## Analysis (cont.)

- The prior art does not support a definition of an antibody structure solely by defining the CDR3 sequence of a VH or VL.
- antibody comprises a heavy chain variable domain SEQ ID NO:1, does not meet the requirements of 35 U.S.C. 112, first paragraph, for enablement. chain variable domain comprises the CDR3 in and a light chain variable domain, said heavy antibody that binds to human antigen X, said Based on this analysis a claim to an isolated

### Questions

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